

TRANSMITTAL FORM

PTO/SB/21 (09-04) Application Number 10/765,289 Filing Date January 26, 2004 First Named Inventor Tetsuya Shirogane Art Unit 2131 Examiner Name Unassigned Attorney Docket Number 16869N-103900US

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission Attorney Docket Number 16869N-103900US								
ENCLOSURES (Check all that apply)								
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declar Extension of Time Requ Express Abandonment F Information Disclosure S Certified Copy of Priority Document(s) Reply to Missing Parts/ Application Reply to Missing under 37 CFR 1	ration(s) Lest Request Statement Y Incomplete g Parts	Drawing(s) Licensing-related Paper Petition Petition to Convert to a Provisional Application Power of Attorney, Rev. Change of Corresponde Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table	ocation ence Address on CD ioner is authori	After Allowance Communication to TC Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): USPTO form SB08A (one page), Return Postcard				
Firm Name		OF APPLICANT, A	TTORNEY, C	DR AGENT				
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Printed name Chun-Pok	Printed name Chun-Pok Leung							
Date 3-16-05			Reg. No.	41,405				
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TOWNSEND and TOWNSEND and CREW LLP

ROGER HYLTON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Tetsuya SHIROGANE

Application No.: 10/765,289

Filed: January 26, 2004

For: STORAGE APPARATUS AND ACCESS MANAGEMENT METHOD

THEREFOR

Examiner: Unassigned

Art Unit: 2131

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37

CFR §1.97 and §1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

Tetsuya SHIROGANE Application No.: 10/765,289

Page 2

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Chun-Pok Leung Reg. No. 41,405

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

	Complete if Known
Application Number	10/765,289
Filing Date	January 26, 2004
First Named Inventor	Tetsuya Shirogane
Art Unit	2131
Examiner Name	Unassigned
Attorney Docket Number	16869N-103900US

		Document Number	Publication Date MM-DD-YYYY		
Examiner Cite Initials* No.1	Cite No. ¹	Number Kind Code ² (if known)		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US 20040111391	06-10-2004	Fujita et al.	
	2	US 20040044744	03-04-2004	Grosner et al.	
	3	US 20030229690	12-11-2003	Kitani et al.	
	4	US 20030177239	09-18-2003	Shinohara et al.	
	5	US 6363067	03-26-2002	Chung et al.	
	6	US 20020174307	11-21-20002	Yoshida et al.	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Fore	eign Patent Doo Number ⁴	Curnent Kind Code ⁶ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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Examiner Signature	Date Considered		